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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1 of 4

Application Number	Continuation of Application No. 09/898,132
Filing Date	July 3, 2001
First Named Inventor	Abbott, Nicholas
Group Art Unit	1627
Examiner Name	Celsa, B.
Attorney Docket Number	2307Z-085840US

10/044899

01/09/02

### U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
JL	AA	4,513,034A		Sparer	04/23/85	
	AB	4,597,942		Meathrel, W. G.	07/01/86	
	AC	4,902,106A		Dijon	02/20/90	
	AD	5,071,526		Pletcher <i>et al.</i>	12/10/91	
	AE	5,130,828		Ferguson, J.L.	07/14/92	
	AF	5,618,493		Goldstein <i>et al.</i>	04/08/97	
↓	AG	5,658,491A		Kistner	08/19/97	
JL	AH	5,677,195A		Winkler <i>et al.</i>	10/14/97	

### FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
JL	AI	PCT	WO 94/03496 A		Csiro	02/17/94		<input type="checkbox"/>
	AJ	PCT	WO 97/32202 A		University of Leeds	09/04/97		<input type="checkbox"/>
	AK	PCT	WO 97/33737 A		Harvard College	09/18/97		<input type="checkbox"/>
	AL	PCT	WO 97/35198 A		Ontogen	09/25/97		<input type="checkbox"/>
↓	AM	PCT	WO 98/04652 A		US Naval Research	02/05/98		<input type="checkbox"/>

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet

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### Complete if Known

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Filing Date	July 3, 2001
First Named Inventor	Abbott, Nicholas
Group Art Unit	1627
Examiner Name	Celsa, B.
Attorney Docket Number	02307Z-085840US

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
JL	AN	Bain, C.D., <i>et al.</i> , "Formation of Monolayers by the Coadsorption of Thiols on Gold: Variation in the Length of the Alkyl Chain," <i>J. Am. Chem. Soc.</i> , 111:7164-7175 (1989)	<input type="checkbox"/>
	AO	Charych, D., <i>et al.</i> , "A 'litmus test' for molecular recognition using artificial membranes," <i>Chemistry &amp; Biology</i> 3(2):113-120 (1996)	<input type="checkbox"/>
	AP	Charych, D.H., <i>et al.</i> , "Direct Colorimetric Detection of a Receptor-Ligand Interaction by a Polymerized Bilayer Assembly," <i>Science</i> 261:585-588 (1993)	<input type="checkbox"/>
	AQ	Cognard, J., "Alignment of Nematic Liquid Crystals and Their Mixtures," <i>Mol. Cryst. Liq. Cryst.</i> , 1:1-74 (1982)	<input type="checkbox"/>
	AR	Drawhorn, R.A., <i>et al.</i> , "Anchoring of Nematic Liquid Crystals on Self-Assembled Monolayers Formed from Alkanethiols on Semitransparent Films of Gold," <i>J. Phys. Chem.</i> , 99(45)11-16515 (1995)	<input type="checkbox"/>
	AS	Frey, B.L., <i>et al.</i> , "Covalent Attachment and Derivatization of Poly(L-Iysine) Monolayers on Gold Surfaces As Characterized by Polarization-Modulation FT-IR Spectroscopy," <i>Analytical Chemistry</i> 68(18):3187-3193 (1996)	<input type="checkbox"/>
	AT	Gupta, V.K., <i>et al.</i> , "Design of Surfaces for Patterned Alignment of Liquid Crystals on Planar and Curved Substrates," <i>Science</i> 276:1533-1536 (1997)	<input type="checkbox"/>
	AU	Gupta, V.K., <i>et al.</i> , "Optical Amplification of Ligand-Receptor Binding Using Liquid Crystals," <i>Science</i> 279(5359):2077-2080 (3/27/98)	<input type="checkbox"/>
	AV	Gupta, V.K., <i>et al.</i> , "Uniform Anchoring of Nematic Liquid Crystals on Self-Assembled Monolayers Formed from Alkanethiols on Obliquely Deposited Films of Gold," <i>Langmuir</i> 12:2587-2593 (1996)	<input type="checkbox"/>
	AW	Hickman, J.J., <i>et al.</i> , "Rational pattern design for in vitro cellular networks using surface photochemistry," <i>J. Vac. Sci. Technol.</i> , 12(3):607-16 (1994)	<input type="checkbox"/>
	AX	Hiltrop, J.K., <i>et al.</i> , "On the Alignment of Thermotropic Nematic and Smectic Liquid Crystals on Lecithin Coated Surfaces," <i>Ber. Bunsenges. Phys. Chem.</i> , 98(2):209-213 (1994)	<input type="checkbox"/>
	AY	Jackman, R.J., <i>et al.</i> , "Fabrication of Submicrometer Features on Curved Substrates by Microcontact Printing," <i>Science</i> , 269:664-665 (1995)	<input type="checkbox"/>
JL	AZ	Jerome, B., "Surface effects and anchoring in liquid crystals," <i>Rep. Prog. Phys.</i> 54:391-451 (1991)	<input type="checkbox"/>

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<sup>1</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Sheet

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Filing Date	July 3, 2001
First Named Inventor	Abbott, Nicholas
Group Art Unit	1627
Examiner Name	Celsa, B.

Attorney Docket Number 02307Z-085840US

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS		
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
	AAA	Kim, T., "Polymeric Self-Assembled Monolayers. 5. Synthesis and Characterization of $\omega$ -Functionalized, Self-Assembled Diacetylenic and Polydiacetylenic Monolayers," <i>Langmuir</i> 12:6065-6073 (1996)
	ABB	Kumar, A., <i>et al.</i> , "Patterned Self-Assembled Monolayers and Meso-Scale Phenomena," <i>Acc. Chem. Res.</i> , 28:219-226 (1995)
	ACC	Lenk, T.J., <i>et al.</i> , "Structural Investigation of Molecular Organization in Self-Assembled Monolayers of a Semifluorinated Amide Thiol," <i>Langmuir</i> 10(12):4610-4617 (12/94)
	ADD	Miller, W.J., <i>et al.</i> , "Planar Anchoring of Nematic 5CB on Self-Assembled Monolayers Formed From Alkanethiols on Gold," <i>Applied Physics Letters</i> 69(13):1852-1854 (09/23/96)
	AEE	Mrksich, M., <i>et al.</i> , "Using Self-Assembled Monolayers to Understand the Interactions of Man-Made Surfaces with Proteins and Cells," <i>Annu. Rev. Biophys. Biomol. Struct.</i> , 25:55-78 (1996)
	AFF	Pan, J.J., <i>et al.</i> , "Molecular Recognition and Colorimetric Detection of Cholera Toxin by Poly(diacetylene) Liposomes Incorporating G <sub>m1</sub> Ganglioside," <i>Langmuir</i> 13:1365-1367 (1997)
	AGG	Poziolek, E.J., <i>et al.</i> , "Use of Liquid Crystals as Vapor Detectors," <i>Mol. Cryst. Liq. Cryst.</i> , 27(1-2):175-185 (5/1973)
	AHH	Proust, J.E., <i>et al.</i> , "Orientation of a Nematic Liquid Crystal by Suitable Boundary Surfaces," <i>Solid State Commun.</i> 11:1227-1230 (1972)
	AII	Spinke, J., <i>et al.</i> , "Molecular recognition at self-assembled monolayers: Optimization of surface functionalization," <i>J. Chem. Phys.</i> , 99(9):7012-7019 (1993)
	AJJ	Tarlov, M.J., <i>et al.</i> , "UV Photopatterning of Alkanethiolate Monolayers Self-Assembled on Gold and Silver," <i>J. Am. Chem. Soc.</i> , 115:5305 (1993)
	AKK	Wagner, P., <i>et al.</i> , "Covalent Immobilization of Native Biomolecules onto Au (111) via N-Hydroxysuccinimide Ester Functionalized Self-Assembled Monolayers for Scanning Probe Microscopy," <i>Biophysical Journal</i> , 70:2052-2066 (1996)
	ALL	Xia, Y., <i>et al.</i> , "Use of Controlled Reactive Spreading of Liquid Alkanethiol on the Surface of Gold To Modify the Size of Features Produced by Microcontact Printing," <i>J. Am. Chem. Soc.</i> , 117:3274-3275 (1995)

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Filing Date	July 3, 2001
First Named Inventor	Abbott, Nicholas
Group Art Unit	1627
Examiner Name	Celsa, B.

Attorney Docket Number

023072-085840US

### OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	AMM	Yang, J.Y., <i>et al.</i> , "Binary self-assembled monolayers: spectroscopy and application to liquid crystal alignment," Masuhara et al., Eds.; <i>Microchemistry</i> , North-Holland, Amsterdam, 1994, p.441	<input type="checkbox"/>

Examiner Signature	/Jeffrey Lundgren/	Date Considered	02/20/2007
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